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doi: 10.1289/ehp.0900887 (available at http://dx.doi.org/)
Online 30 July 2009



National Institutes of Health
U.S. Department of Health and Human Services

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Public Health

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Running title: A Clash of Old and New Concepts in Toxicology

Key words: Bisphenol A, Biphasic, Dose-Response Curve, Inverted U, Low Dose, Non-

Monotonic, Regulatory Toxicology

Article Descriptor: Risk Assessment

Acknowledgments. We thank Linda Birnbaum, Patricia Hunt, Donald Kennedy, Philip

Lee and Sarah Vogel for comments on the manuscript.

Competing interest declaration. J.P. Myers is CEO/Chief Scientist for Environmental

Health Sciences, a not-for-profit organization that receives support from several private

foundations (listed on the website www.environmentalhealthnews.org) to support EHS's

mission to advance public understanding of environmental health sciences. In addition to

serving on the faculty of the University of Missouri, Frederick vom Saal is CEO of

XenoAnalytical LLC, a small private laboratory that performs assays of xenobiotic

compounds.

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List of abbreviations and definitions

BPA: bisphenol A

DEHP: di(2-ethylhexyl) phthalate

EDCs: Endocrine-disrupting compounds

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Abstract.

Background

A core assumption of current procedures used by toxicology to establish health standards for chemical exposures is that testing the safety of chemicals at high doses can be used to predict the effects of low dose exposures, such as those common in the general population. This assumption is based upon the precept that 'the dose makes the poison:' higher doses will cause greater effects.

Objectives

We challenge the validity of assuming that high dose testing can be used to predict low dose effects for contaminants that behave like hormones. We review data from endocrinology and toxicology that falsify this assumption, and summarize current mechanistic understanding of how low doses can lead to effects unpredictable from high dose experiments.

Discussion

Falsification of this assumption raises profound issues for regulatory toxicology. Many exposure standards are based upon this assumption. Rejecting the assumption will require that these standards be re-evaluated, and that procedures employed to set health standards be changed. The consequences of these changes may be significant for public health, because of the range of health conditions now plausibly linked to exposure to endocrine-disrupting contaminants.

Conclusions

We recommend that procedures to establish acceptable exposure levels for endocrine-disrupting compounds incorporate the inability for high dose tests to predict low dose results. Setting acceptable levels of exposure must include testing for health consequences at prevalent levels of human exposure, not extrapolations from the effects observed in high dose experiments. Scientists trained in endocrinology must be engaged systematically in standard setting for endocrine-disrupting compounds.

Introduction

The very public debate about potential harmful consequences of exposure to the plastic monomer bisphenol A (BPA) is a leading high profile battleground in a scientific revolution currently underway in toxicology (Layton 2008; Myers et al. 2009). But much more is under contention than the health risks of one chemical. Data emerging from studies of endocrine-disrupting chemicals (EDCs) like BPA that mimic or in numerous ways interfere with hormone action challenge the central assumption that has guided toxicology for centuries, including today's regulatory apparatus for assessing chemical safety. And in so doing, they challenge the methods and the adequacy of chemical exposure safety standards.

Using high dose testing to predict low dose effects

The core assumption of regulatory toxicology is that experiments using high doses will reveal potential effects of low doses. This is derived from 16th century dogma but is still typically applied today by federal regulators (White et al. 2009), even though it conflicts directly with well-established principles in endocrinology regarding hormone action. The acceptance of this assumption has profound implications for the assessment of risk to human health posed by EDCs.

The approach of using very high-dose testing to predict consequences of much lower doses that are typically within the range of widespread human exposure emerges from a 16th century observation by Paracelsus that toxicologists paraphrase as "the dose makes the poison" (Gallo 1996). Paracelsus' logic holds if and only if a chemical's effects

follow a monotonic dose-response curve, in which more of the chemical leads to a greater effect. Monotonicity and non-monotonicity refer to changes in the slope of the curve describing dose and response. Monotonic curves may be linear or non-linear, but the slope never reverses from positive to negative or vice-versa. The slope of non-monotonic curves change sign, from positive to negative or vice-versa. Biologically relevant non-monotonic curves include 'U shaped' or 'inverted-U' shaped' dose-response relationships. When toxicologists began to focus on potential health effects of EDCs, endocrinologists raised questions about the appropriateness of assuming monotonicity as a basis for chemical risk assessments, because non-monotonicity is a general characteristic of endogenous hormones, hormonally active drugs, and environmental chemicals with hormonal activity.

Indeed, Paracelsus' assumption is directly contradicted by decades of research in endocrinology and clinical medicine showing that hormonally-active compounds have dose-response curves in which low-doses can cause effects opposite to effects at high doses. This issue is so central to hormone action that it is a critical component of determining the dose required for hormonally active drugs; two well-known examples are Lupron used to treat reproductive disorders in women and men (Garner 1994) as well as tamoxifen used to treat breast cancer (Mortimer et al. 2001), in which low doses stimulate while high doses inhibit disease. Specifically, for both of these drugs, a phenomenon known as low dose "flare" occurs during which there is stimulation of the response that the drug inhibits when the blood level of the drug reaches the high clinically effective dose range (for example testosterone secretion in men with prostate cancer for Lupron,

and proliferation of mammary tissue in women with breast cancer for tamoxifen).

Non-monotonic dose-response curves

Non-monotonic dose-response curves result from multiple mechanisms. Hormones and hormone-mimicking chemicals act through receptors in target cells. Very low doses can stimulate the production of more receptors (called receptor up-regulation), resulting in an increase in responses, while higher doses (within the typical toxicological range of chemical testing) can inhibit receptors (called receptor down-regulation), resulting in a decrease in responses (Welshons et al. 2003). The consequence for gene activity, which is regulated by hormone-mimicking chemicals binding to receptors that amplify very small exposures into very large responses, is that very low doses of these chemicals (in the case of a positively-regulated gene) can up-regulate gene expression, while at higher doses the same chemicals down-regulate gene expression (Coser et al. 2003; Medlock et al. 1991; Vandenberg et al. 2007).

If only one response is being measured, a non-monotonic dose-response curve is a common finding for EDCs. An additional complication, however, is that when multiple outcomes are examined, qualitatively different outcomes are commonly observed at low and high doses of EDCs. One basis for this is that the suite of genes whose expression is regulated by low doses of endogenous hormones and chemicals that mimic these hormones can be completely different from the genes affected by high doses (Coser et al. 2003). As the dose increases, hormones and hormone-mimicking chemicals can bind to

receptors for other hormones, referred to as receptor cross-talk. For example, at high doses endogenous and manmade environmental estrogens begin to interact with androgen and thyroid hormone receptors, producing entirely different effects from those seen at low doses where only significant binding to estrogen receptors occurs (Welshons et al. 2003). Furthermore, myriad hormonal feedback mechanisms between the brain, pituitary gland and hormone producing organs (e.g., thyroid gland, adrenal glands, ovaries, testes) contribute to the presence of non-monotonic dose-response curves and qualitatively different responses at low and high doses of EDCs. The consequence is that high doses and low doses differ not just in quantitative effects, but also in qualitative impact, especially when responses of whole organisms are considered.

Another consideration is that the effects of endocrine disrupting chemicals that are classified as "xenoestrogens" are not identical. As research has progressed into identifying the molecular mechanisms mediating responses, a consensus has emerged that this class of EDCs should be categorized as selective estrogen receptor modulators (SERMs), to highlight the fact that each can result in an unique array of responses. However, conducting studies that involve comparing activities of different xenoestrogens (or other chemicals that act via similar mechanisms) requires understanding the importance of the doses being used (Shioda et al. 2006).

EDCs may also act by mechanisms that do not require direct mediation by classical hormone receptors. There is non-specific (non-receptor mediated) toxicity that can occur

at high but not low doses. EDCs also exert actions upon synthesis or function of enzymes that may be responsible for the synthesis or degradation of hormones; on co-regulatory proteins that interact with receptors, and in the case of neurological actions, through impacting neurotransmitters and their receptors (Gore 2007). One example is activation by low doses of atrazine of aromatase gene activity in zebra fish embryos, which can alter sex determination via a rapid signaling system (Suzawa and Ingraham 2008). This concept is important because each of these mechanisms may have a unique dose-response relationship for a particular EDC, adding to the complexity of the overall shape of the dose-response curve for each response.

Of great importance, above the dose at which a hormonally active chemical saturates (occupies virtually all) receptors, any change in response that occurs cannot be caused by a receptor-mediated mechanism, which requires a change in receptor occupancy.

Receptors for steroid hormones are ligand activated transcription factors that require a change in ligand binding to impact the rate of gene transcription. Thus, high dose experiments cannot be used to predict low dose results mediated by EDCs binding to hormone receptors and altering receptor-mediated responses at low doses. The current paradigm in regulatory toxicology of only testing a few very high doses of chemicals within a relatively narrow dose range (with the highest dose being the maximum tolerated dose) thus does not serve to predict the hazards posed by low-level exposure to numerous EDCs found in the majority of people in biomonitoring studies conducted in the USA and

elsewhere (Calafat et al. 2008).

Non-monotonic dose-response curves have been reported for adverse effects with a number of endocrine disrupting compounds (Myers and Hessler 2007), including the polycarbonate plastic monomer bisphenol A (Figure 1) used in some baby bottles, water bottles and food can linings (Wetherill et al. 2002), the phthalate diethylhexylphthalate (DEHP, used in medical devices and other products made with polyvinylchloride plastic) (Takano et al. 2006), and the pesticides, dieldrin, endosulfan and hexachlorobenzene (Narita et al. 2007). For example, exposure to DEHP at a concentration one-thousand-fold beneath the current safety standard, which is based on high-dose liver toxicity, exacerbated allergic reactions. (Takano et al. 2006). Similarly, exposure to extremely low (picomolar, parts per trillion) levels of several persistent organic pollutants increased allergic responses (Narita et al. 2007). None of these effects was predicted by studies that only examined high doses of these chemicals.

An experiment with rats that involved administration of DEHP was explicitly designed to test the adequacy of high-dose testing (Andrade et al. 2006). It found that a high dose increased estrogen synthesizing (aromatase) enzyme activity in the brains of neonatal male rats; a dose 100-fold lower appeared to be the "no effect dose", which is used to estimate the dose deemed safe for human exposure (the aromatase enzyme is involved in determining sex differences in brain function). Only because the scientists broke with tradition and also tested lower doses did they find significant down-regulation of aromatase at a dose 37-times lower than the putative no effect dose, an effect opposite to

and unpredicted from only testing very high doses.

Other experiments have documented non-monotonicity in rat pituitary and cerebellar cortex cells exposed to pico- through micro-molar levels of bisphenol A (Wozniak et al. 2005; Zsarnovszky et al. 2005). Acting through a relatively recently discovered nonclassical estrogen response system, very low picomolar concentrations of bisphenol A increased calcium influx and activation of enzyme cascades that dramatically amplify a very low-dose signal into a large cellular response. The dose-response curve followed a non-monotonic 'inverted-U' shape, with the strongest response at picomolar to low nanomolar levels. The bioactive concentrations of bisphenol A in these experiments were below the range found ubiquitously in human blood and urine. Other endpoints that follow a non-monotonic pattern for bisphenol A are human prostate cancer cell proliferation (Figure 1) (Wetherill et al. 2002), promotion of human seminoma cell proliferation (Bouskine et al. 2009) and production of the insulin-response regulating hormone adiponectin by human adipocytes (Hugo et al. 2008). These specific responses to bisphenol A occurred within the range of exposure of people to bisphenol A based on biomonitoring studies (Calafat et al. 2008; Schonfelder et al. 2002) but were not observed at much higher doses.

Research over the past 20 years has identified multiple EDCs that mimic or disrupt hormone function at low doses in ways that are not predicted by high-dose studies.

Biomonitoring studies have established that many of these contaminants are widespread in people. Yet classical regulatory toxicology ignores non-monotonicity despite the fact

that, similar to hormones, endocrine-disrupting chemicals would be expected to display non-monotonic dose-response patterns for many responses. This disconnect with current science pervades virtually all regulatory agencies responsible for chemical safety around the world, and it means that many regulatory decisions are highly likely to have underestimated risks.

Health implications

Were the health implications of these decisions inconsequential, this clash between regulatory toxicology and endocrinology would appropriately remain buried in academia. But the range of health conditions now plausibly linked to endocrine-disrupting contaminants, including, but not limited to, prostate cancer (Chamie et al. 2008), breast cancer (Soto et al. 2008), attention deficit hyperactivity disorder (Ishido et al 2004), infertility and both male and female reproductive disorders (Hauser and Sokol 2008; Swan 2008), miscarriage, and most recently, hyper-allergic diseases, asthma (Bornehag et al. 2004), obesity (Hugo et al. 2008), heart disease and type 2 diabetes (Lang et al. 2008) makes it imperative that the clash between endocrinology and regulatory toxicology is resolved in ways that reflect modern scientific understanding.

These chronic diseases are major contributors to the steadily increasing human disease burden and to the escalating cost of health care throughout the world. Extensive, careful and replicable animal research suggests that numerous common manmade chemicals to which people are exposed every day, but which have not been adequately studied for

health effects in humans, may be significant contributors to these adverse health trends. As the endocrine system is highly conserved between animals used as models in biomedical research and humans, the default assumption should be that non-monotonic dose-responses of EDCs observed in laboratory animals and in vitro, including with human cells and tissues, are applicable to human health (Wetherill et al. 2007; Hugo et al. 2008). Modernizing relevant health standards by incorporating endocrinological principles could help reduce a significant portion of the human disease burden, but this will require regulatory decision makers to fundamentally change the paradigm commonly used to assess the risk to human health posed by chemicals.

Specific recommendations and conclusion

We recommend that:

- (1) Animal testing protocols used to establish regulatory safety standards must include experiments that examine effects of chemicals over a wide dose range that at their low end overlap with typical human exposures, particularly those experienced by vulnerable populations based on biomonitoring data, or modeling if actual data do not exist.
- (2) Current scientific knowledge obtained through studies on physiological endocrine system and its disruption by exogenous chemicals should be applied systematically when regulatory standards on EDCs are to be established. For the best interest of public safety, cooperation of chemical manufacturers in re-evaluating safety of their products under the new criteria is critical. Their acceptance of the endocrinology-derived concept that high dose experiments are insufficient to establish safety standards for EDCs is essential.

 Continued denial of the reality that non-monotonic dose response curves are predicted to

occur for EDCs is no longer tenable (Bird 2005; vom Saal, 2005).

The soaring health care crisis unfolding in countries around the world demands that the regulatory apparatus of governments move into the 21st century. Blind obedience to 16th century dogma will not solve the problem. Unless and until regulatory agencies incorporate modern endocrinological principles into their risk assessment paradigms, they will continue to provide false assurances of "safety" and fail to recognize the actual health risks posed by chronic low-level exposure to an increasing number of chemicals found in commonly used products.

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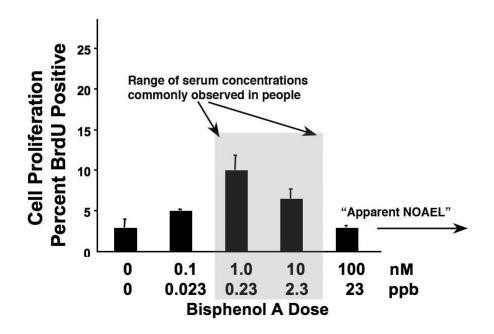
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Figure Legend

Figure 1. BPA induces androgen-independent LNCaP cell proliferation (modified from Wetherill et al. 2002). LNCaP cells were propagated for 72 h in 5% CDT supplemented with 0.1% ETOH vehicle and increasing BPA concentrations (0.1 -100 nM). Cells were then labeled with BrdUrd, and BrdUrd incorporation was detected via indirect immunofluorescence. Data shown are the average of at three independent experiments in which at least 250 cells/experiment were analyzed; bars, SD. Shaded region indicates typical concentrations found in humans (Vandenbergh et al. 2007). At the upper end of the doses used in this experiment, the response to 100 nM BPA did not differ from the control. A standard toxicity test, working down the dose-response curve from high doses, would have found no difference between controls and exposed animals at a dose at that level or above (indicated by the arrow), and used it to identify the apparent 'apparent No Observed Adverse Effect Level (NOAEL). Testing at lower doses would not have been conducted and the strong effect of BPA at 1 nM and 10 nM would never have been observed.



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